

07-23-07

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Applicant(s): Emerson Jones et al.



Docket Number

006878.114501

Serial No.
10/676,297

Filing Date
September 30, 2006

Examiner
Ali, M

Group Art Unit
3609

Invention: METHOD AND SYSTEM FOR ANALYZING A CAPITAL STRUCTURE FOR A COMPANY

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Information Disclosure Statement (1 page);
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Jones, et al.	: Group Art Unit: 3609
Serial No.: 10/676,297	: Examiner: Ali, M
Filed: September 30, 2003	: Attorney Docket No.: 006878.114501
For: Method and System for Analyzing A Capital Structure For A Company	:

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
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Sir:

This Information Disclosure Statement is filed pursuant to 37 C.F.R. 1.97(b)(3). In this regard, it is noted that there has not yet been a mailing of a first Office Action on the merits.

Of further note, no representation is intended that a complete search has been made by the applicants or that no better art references than those provided herewith are available. Moreover, the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited is, or is considered to be, material to patentability.

Respectfully submitted,
GREENBERG TRAURIG, LLP

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Approved for use through 03/31/2007, OMB 0651-0031
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Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear
MAV	US-2002/0046144	04-18-2002		Griff	
	US-2002/0065735	05-30-2002		Shayman et al	
	US-2002/0073007	06-13-2002		Ayache	
	US-2002/0099160	07-25-2002		Lange	
	US-2002/0103738	08-01-2002		Griffel et al	
	US-2002/0147670	10-16-2002		Lange	
	US-2002/0176101	11-28-2002		Swift	
	US-2003/0014337	01-16-2003		Matthews et al	
	US-2003/0023325	01-30-2003		Chen	
	US-2003/0022418	02-06-2003		Wong et al	
	US-2003/0069817	04-10-2003		Griff	
	US-2003/0086288	07-20-2003		Jams	
	US-2003/0078470	07-24-2003		Daker et al	
	US-2003/0101125	08-29-2003		McGill et al	
	US-2003/0115128	08-19-2003		Lange	
	US-2003/0130941	07-11-2003		Girle, Jr. et al	
	US-2003/0135436	07-17-2003		Girle, Jr. et al	
	US-2003/0135496	07-17-2003		Girle, Jr. et al	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ² "Number" Kind Code ³ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear	*

Examiner Signature

/Mohamed Ali/

Date Considered

09/13/2007

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APPENDIX A TENT DOCUMENTS

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.*	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
TMA		Number/Kind Code* (if known)			
	US-2	2003/0236754	12-25-2003	Lange	
	US-2	2004/00068520	01-06-2004	Birle, Jr. et al.	
	US-5	5,794,045	12-30-1997	Fitz et al.	
	US-5	5,792,775	04-21-1998	AT&T	
	US-5	5,802,501	09-01-1998	Griff	
	US-6	6,061,662	05-09-2000	Makrivic	
	US-6	6,148,293	11-14-2000	Fitz	
	US-6	6,167,384	12-26-2000	Griff	
	US-6	6,193,347	02-20-2001	Griff	
	US-6	6,278,781	08-21-2001	Densu	
	US-6	6,321,212	11-20-2001	Lange	
	US-6	5,946,375	09-08-2003	Pak et al.	
	US-6	6,061,662	05-09-2000	Makrivic, Oh	

FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No.*	Foreign Patent Document Country Code* Number* Kind Code* (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
(MA)					

Examiner Signature	/Mohamed Ali/	Date Considered	09/13/2007
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Complete IT Knowledge

Complete if Known

0610347

Filing Date Sept. 30, 2003

First Named Inventor Jones

Art Unit 3 (eD) 9

Examiner Name Tim

Attorney Docket Number 000879:114A

NON-PATENT LITERATURE DOCUMENTATION

NON-PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
/MA/		JSDA Letter to Mr. Timothy Gress, Director of Research and Technical Activities, FASB, April 30, 2001
		The Analyst's Accounting Observer Letter to Mr. Timothy Gress, FASB, May 3, 2001
		Six Batch of Proposed IAS39 Implementation Guidance Questions and Answers, Drafts issued for comment on June, 23, 2001
		Exposure Draft of Proposed Amendments to IAS 32 and IAS 39, dated 2002
		Intermediate Accounting by Loren Nikolai and John Butcher PWS-Kent Publishing Company 5 th Edition, 1991 pp. 1183-1205
		Sams Teach Yourself Excel 97 in 10 Minutes by Jennifer Fulton, Sams, 1998 pp. 152-157
↓		
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